



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE  
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare  
6700B Rockledge Drive, Suite 2500, MSC 6910  
Bethesda, Maryland 20892-6910  
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare  
6700B Rockledge Drive, Suite 2500  
Bethesda, Maryland 20817  
Telephone: (301) 496-7163  
Facsimile: (301) 480-3387

June 1, 2021

Re: Animal Welfare Assurance  
A3368-01 [OLAW Case 11B]

Dr. Nadine Connor  
Associate Vice Chancellor  
for Research Policy and Compliance  
University of Wisconsin-Madison  
(b) (4) Bascom Hall – 500 Lincoln Drive  
Madison, WI 53706

Dear Dr. Connor,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your May 14, 2021 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the University of Wisconsin - Madison. Your letter supplemented the information in the telephone report of the same date. According to the information provided, OLAW understands that on March 18, 2021, a macaque sustained an injury that required veterinary intervention when it exited its enclosure while an animal caretaker was transferring the animal for cage sanitization. The animal has fully recovered. The animal involved was supported by PHS funds.

Corrective and preventive measures include the WNPRC making improvements to transport devices to reduce the chance of a similar event occurring in the future.

The consideration of this matter by the University of Wisconsin - Madison was consistent with the philosophy of institutional self-regulation. Similarly, the action taken to address the issue and prevent recurrence is appropriate. We appreciate being informed of this matter and find no cause for further action by this office.

Sincerely,

**Brent C. Morse** - Digitally signed by Brent C.  
Morse -S

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Date: 2021.06.01 09:04:19 -04'00'

Brent C. Morse, DVM

Director

Division of Compliance Oversight

Office of Laboratory Animal Welfare

cc: IACUC contact

Dr. Robert M. Gibbens, USDA, APHIS, AC



May 14, 2021

Dr. Brent Morse, DVM, DAACLAM  
Director, Division of Compliance Oversight  
Office of Laboratory Animal Welfare  
National Institutes of Health  
RKL1, Suite 360, MSC 7982  
6705 Rockledge Drive  
Bethesda, MD 20892-7892

Dear Dr. Morse:

The University of Wisconsin-Madison, in accordance with Assurance **A3368-01** and PHS Policy IV.F.3, provides this report of an incident first reported to you via telephone by Dr. Janet Welter on May 14, 2021.

In this incident, a macaque sustained an injury that required veterinary intervention when it exited its enclosure while an animal caretaker was transferring the animal for USDA-mandated biweekly cage sanitization. The animal has fully recovered. The incident was reviewed through our official root cause analysis program with extensive input from the caretaker involved. As a result of the root cause analysis, improvements to transport devices are being made to reduce the chance of a similar event occurring in the future. The animal involved was supported by PHS funds.

The LSVC ACUC discussed this incident in full committee and considered the corrective steps taken appropriate. If you have further questions, please feel free to contact either of us or Dr. Janet Welter.

Sincerely,

(b) (6)

Ricki Colman, Ph.D.  
Chair, LSVC ACUC

(b) (6)

Nadine P. Connor, Ph.D.  
Associate Vice Chancellor for Research Policy & Compliance  
Institutional Official

xc: (b) (6)  
Janet Welter  
(b) (6)  
AAALAC-I

**Research Animal Resources Center**

396 Enzyme Institute University of Wisconsin-Madison 1710 University Avenue Madison, Wisconsin 53726-4087  
608/262-1238 Fax: 608/265-2698 Email: help@rarc.wisc.edu http://www.rarc.wisc.edu

**Wolff, Axel (NIH/OD) [E]**

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**From:** OLAW Division of Compliance Oversight (NIH/OD)  
**Sent:** Friday, May 21, 2021 7:20 AM  
**To:** (b) (6)  
**Cc:** OLAW Division of Compliance Oversight (NIH/OD)  
**Subject:** RE: Incident Reports from Nadine Connor @ UW-Madison

Thank you for these reports. We will send replies shortly.

Axel Wolff, M.S., D.V.M.  
Deputy Director, OLAW

**From:** (b) (6)  
**Sent:** Thursday, May 20, 2021 3:34 PM  
**To:** OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>  
**Cc:** AAALAC International <accredit@AAALAC.org>; Nadine Connor <nadine.connor@wisc.edu>; (b) (6)  
(b) (6) Janet Welter <welter@rarc.wisc.edu>;  
(b) (6)  
**Subject:** Incident Reports from Nadine Connor @ UW-Madison

Dr. Morse:

Please see the attached incident final reports from Nadine Connor @ UW-Madison. Thanks!

(b) (6)



### Initial Report of Noncompliance

By: BCM

Date: 5/14/2021

Time: 2:30

Person Reporting: Janet Welter, AV  
 Telephone #: [redacted] (b) (6)  
 Fax #:  
 Email:

Name of Institution: Univ. of Wisconsin (Madison)  
 Assurance number: A3368

Did incident involve PHS funded activity?  yes  
 Funding component: \_\_\_\_\_  
 Was funding component contacted (if necessary): \_\_\_\_\_

NHP injured during cage transfer. Treated and O.K.

Species involved: Macaque  
 Personnel involved: Care staff  
 Dates and times: 3/18/2021  
 Animal deaths: no

Projected plan and schedule for correction/prevention (if known): \_\_\_\_\_

Changing devices used for cage transfer.

Projected submission to OLAW of final report from Institutional Official:

< 60 days

OFFICE USE ONLY  
 Case # \_\_\_\_\_