



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE  
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare  
6700B Rockledge Drive, Suite 2500, MSC 6910  
Bethesda, Maryland 20892-6910  
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare  
6700B Rockledge Drive, Suite 2500  
Bethesda, Maryland 20817  
Telephone: (301) 496-7163  
Facsimile: (301) 480-3387

September 3, 2021

Re: Animal Welfare Assurance  
A3368-01 [OLAW Case 11E]

Dr. Nadine Connor  
Associate Vice Chancellor  
for Research Policy and Compliance  
University of Wisconsin-Madison  
(b) (4) Bascom Hall – 500 Lincoln Drive  
Madison, WI 53706

Dear Dr. Connor,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your September 2, 2021 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the University of Wisconsin - Madison. Your letter supplemented the information in the preliminary telephone report on July 6, 2021. According to the information provided, OLAW understands that on May 29, 2021, at the WNPRC, after handfeeding a 3-day old infant marmoset, an animal caretaker returned the infant to the nest box with its sibling and mother but failed to return the nest box to the home enclosure. Upon discovery the next morning, the nest box was returned to the home enclosure. During the overnight period the dam and offspring had no access to water but both infants had access to their mother overnight. One infant was euthanized later that day due to a poor prognosis for survival. The other infant is still alive and healthy. In-room checks had already been performed prior to the last supplemental feeding. The animals involved were supported by PHS funds.

Corrective and preventive measures included updating the relevant standard operating procedure to now require that whenever a marmoset is given supplemental feeding, immediately upon completion of the feeding animals are returned to their home enclosure. Personnel involved in this incident have undergone retraining. The animal involved was supported by PHS funds.

The consideration of this matter by the University of Wisconsin - Madison was consistent with the philosophy of institutional self-regulation. Similarly, the actions taken to address the issue and prevent recurrence were appropriate. We appreciate being informed of this matter and find no cause for further action by this office.

Sincerely,

**Brent C. Morse -S**

Digitally signed by Brent C. Morse

-S

Date: 2021.09.03 10:22:38 -04'00'

Brent C. Morse, DVM  
Director  
Division of Compliance Oversight  
Office of Laboratory Animal Welfare

cc: IACUC contact

Dr. Robert M. Gibbens, USDA, APHIS, AC



September 2, 2021

Dr. Brent Morse, DVM, DACLAM  
Director, Division of Compliance Oversight  
Office of Laboratory Animal Welfare  
National Institutes of Health  
RKL1, Suite 360, MSC 7982  
6705 Rockledge Drive  
Bethesda, MD 20892-7892

Dear Dr. Morse:

The University of Wisconsin-Madison, in accordance with Assurance A3368-01 and PHS Policy IV.F.3, provides this report of an incident first reported to you via telephone by Dr. Janet Welter on July 8, 2021.

In this incident, an animal caretaker was handfeeding a 3-day old infant marmoset per veterinarian orders as the animal appeared clinically unstable. After the last feeding of the day, the caretaker returned the infant to the nest box with its sibling and mother but failed to return the nest box to the home enclosure. Upon discovery the next morning, the nestbox was returned to the home enclosure. During the overnight period the dam and offspring had no access to water but both infants had access to their mother overnight. One infant was euthanized later that day due to a poor prognosis for survival. The other infant is still alive and healthy. Root cause analysis revealed that the animal caretaker forgot to return the nest box to the home enclosure after the last supplemental feeding of the day and that in-room checks had already been performed prior to the last supplemental feeding. In response to this incident, the relevant standard operating procedure has been updated to now require that whenever a marmoset is given supplemental feeding, immediately upon completion of the feeding animals are returned to their home enclosure. Personnel involved in this incident have undergone retraining. The animal involved was supported by PHS funds.

The LSVC ACUC discussed this incident in full committee and considered the corrective steps taken appropriate. If you have further questions, please feel free to contact either of us or Dr. Janet Welter.

Sincerely,

(b) (6)

Ricki Colman, Ph.D.  
Chair, LSVC ACUC

**Research Animal Resources Center**

396 Enzyme Institute University of Wisconsin-Madison 1710 University Avenue Madison, Wisconsin 53726-4087  
608/262-1238 Fax: 608/265-2698 Email: help@rarc.wisc.edu <http://www.rarc.wisc.edu>

(b) (6)

Nadine P. Connor, Ph.D.  
Associate Vice Chancellor for Research Policy & Compliance  
Institutional Official

xc: (b) (6)  
Janet Welter  
AAALAC-I

**Walker, Keri (NIH/OD) [E]**

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**From:** OLAW Division of Compliance Oversight (NIH/OD)  
**Sent:** Friday, September 3, 2021 8:22 AM  
**To:** (b) (6) OLAW Division of Compliance Oversight (NIH/OD)  
**Cc:** AAALAC International; Nadine Connor; (b) (6) Janet Welter; RICKI COLMAN  
**Subject:** RE: Incident Report from Nadine Connor @ UW-Madison

Thank you for this final report. We will respond soon.

Keri

*Keri Walker  
Program Analyst  
Division of Compliance Oversight  
Office of Laboratory Animal Welfare  
National Institutes of Health  
6700B Rockledge Dr., Suite 2500  
Bethesda, MD 20892  
301-435-2390  
[keri.walker@nih.gov](mailto:keri.walker@nih.gov)*

**From:** (b) (6)  
**Sent:** Thursday, September 2, 2021 2:19 PM  
**To:** OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>  
**Cc:** AAALAC International <accredit@AAALAC.org>; Nadine Connor <nadine.connor@wisc.edu>; (b) (6)  
(b) (6) Janet Welter <welter@rarc.wisc.edu>; RICKI COLMAN <rcolman@primate.wisc.edu>  
**Subject:** Incident Report from Nadine Connor @ UW-Madison

Dr. Morse:

Please see the attached incident final report from Nadine Connor @ UW-Madison. Thanks!

(b) (6)





# Initial Report of Noncompliance

By: BCM

Date: 7/6/2021

Time: 4:00

Person Reporting: Janet Welter, AV

Telephone #: [redacted] (b) (6)

Fax #:

Email:

Name of Institution: Univ. of Wisconsin (Madison)\_ WNPRC

Assurance number: A3368

Did incident involve PHS funded activity?   ?  

Funding component: \_\_\_\_\_

Was funding component contacted (if necessary): \_\_\_\_\_

Nest box removed when hand-feeding infant. Mother and infant returned to cage but tech forgot to return nest box. No negative outcomes.

Species involved: Marmoset

Personnel involved: Tech

Dates and times: 5/29/2021

Animal deaths: no

Projected plan and schedule for correction/prevention (if known): \_\_\_\_\_

Projected submission to OLAW of final report from Institutional Official:

< 60 days

OFFICE USE ONLY

Case # \_\_\_\_\_