



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:
Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:
Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 480-3387

November 10, 2021

Re: Animal Welfare Assurance
A3368-01 [OLAW Case 11P]

Dr. Nadine Connor
Associate Vice Chancellor
for Research Policy and Compliance
University of Wisconsin-Madison
(b) (4) Bascom Hall – 500 Lincoln Drive
Madison, WI 53706

Dear Dr. Connor,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your September 20, 2021 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the University of Wisconsin-Madison following up on an initial September 3, 2021 notification by telephone.

According to the information provided, this Office understands that the University of Wisconsin-Madison Animal Care and Use Committee (ACUC) determined that instances of noncompliance occurred with respect to: the actions of inexperienced animal care personnel resulting in injury of a nonhuman primate (adult macaque). The final report states an adult macaque sustained an injury to the tip of its tongue. The animal was inadvertently placed in a new enclosure during bi-weekly enclosure sanitization, which was equipped with a mesh divider rather than a solid divider. As a result, the animal was able to make contact with another macaque through the divider, which resulted in injury. It was determined that the animal care technician (ACT) involved was in training and executed the task because they believed they knew what to do but did not have experience with the types of enclosures being utilized. In response, the ACT was immediately trained on placement of correct dividers. It is understood the macaque received appropriate anti-inflammatories and analgesics and healed uneventfully. Per the report, the IACUC reviewed the matter and determined the corrective actions were appropriate.

It is noted that this animal is supported by PHS funding. Based on its assessment of this explanation, OLAW understands that the University of Wisconsin-Madison has implemented appropriate measures to correct and prevent recurrences of these problems and is now compliant with provisions of the PHS Policy.

We appreciate being informed of these matters and find no cause for further action by this Office.

Sincerely,
Jacquelyn T.
Tubbs -S

Digitally signed by Jacquelyn T.
Tubbs -S
Date: 2021.11.10 08:04:40
-05'00'

Jacquelyn Tubbs, DVM, DACLAM
Animal Welfare Program Specialist
Division of Compliance Oversight
Office of Laboratory Animal Welfare

cc: IACUC Contact
Robert M. Gibbens, DVM, Director, Animal Welfare Operations



September 20, 2021

Dr. Brent Morse, DVM, DACLAM
Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health
RKL1, Suite 360, MSC 7982
6705 Rockledge Drive
Bethesda, MD 20892-7892

Dear Dr. Morse:

The University of Wisconsin-Madison, in accordance with Assurance A3368-01 and PHS Policy IV.F.3, provides this report of an incident first reported to you via telephone by Dr. Janet Welter on September 10, 2021.

In this incident, an adult macaque sustained an injury to the tip of its tongue when it was inadvertently placed in a new enclosure during bi-weekly enclosure sanitization that was equipped with a mesh rather than a solid divider allowing the animal to make contact with another macaque through the divider. Root cause analysis revealed that the animal care technician (ACT) involved was in training and executed the task because they believed they knew what to do but did not have experience with the type of enclosures being utilized. The ACT was immediately trained on placement of correct dividers. The animal received appropriate anti-inflammatories and analgesics and has healed without issue. The animal involved was supported by PHS funds.

The LSVC ACUC discussed this incident in full committee and determined that the corrective steps taken were appropriate. If you have further questions, please feel free to contact either of us or Dr. Janet Welter.

Sincerely,

(b) (6)

Ricki Colman, Ph.D.
Chair, LSVC ACUC

(b) (6)

Nadine P. Connor, Ph.D.
Associate Vice Chancellor for Research Policy & Compliance
Institutional Official

xc: (b) (6)

Research Animal Resources Center

396 Enzyme Institute University of Wisconsin-Madison 1710 University Avenue Madison, Wisconsin 53726-4087
608/262-1238 Fax: 608/265-2698 Email: help@rarc.wisc.edu http://www.rarc.wisc.edu

Janet Welter
AAALAC-I

Wolff, Axel (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Friday, November 5, 2021 8:03 AM
To: (b) (6)
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: Incident Report from Nadine Connor @ UW-Madison

Thank you for these reports, (b) (6) We will send responses soon.
Axel Wolff

From: (b) (6)
Sent: Thursday, November 4, 2021 4:32 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: RICKI COLMAN <rcolman@primate.wisc.edu>; AAALAC International <accredit@AAALAC.org>; Nadine Connor <nadine.connor@wisc.edu>; (b) (6) Janet Welter <welter@rarc.wisc.edu>
Subject: Incident Report from Nadine Connor @ UW-Madison

Dr. Morse:

Please see the attached incident final reports from Nadine Connor @ UW-Madison. Thanks!

(b) (6)



Initial Report of Noncompliance

By: BCM

Date: 9/3/2021

Time: 3:30

Person Reporting: Janet Welter, AV
 Telephone #: [REDACTED] (b) (6)
 Fax #:
 Email:

Name of Institution: Univ. of Wisconsin (Madison) WNPRC
 Assurance number: A3368

Did incident involve PHS funded activity? yes
 Funding component: _____
 Was funding component contacted (if necessary): _____

Technician put macaque in cage with a mesh divider panel instead of solid panel. Neighbor bit tongue. Healing well.

Species involved: Macaque
 Personnel involved: Tech
 Dates and times: 7/7/2021
 Animal deaths: no

Projected plan and schedule for correction/prevention (if known): _____

Projected submission to OLAW of final report from Institutional Official:

< 60 days

OFFICE USE ONLY
 Case # _____