



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE  
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare  
6700B Rockledge Drive, Suite 2500, MSC 6910  
Bethesda, Maryland 20892-6910  
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare  
6700B Rockledge Drive, Suite 2500  
Bethesda, Maryland 20817  
Telephone: (301) 496-7163  
Facsimile: (301) 480-3387

January 4, 2022

Re: Animal Welfare Assurance  
A3368-01 [OLAW Case 11W]

Dr. Nadine Connor  
Associate Vice Chancellor  
for Research Policy and Compliance  
University of Wisconsin-Madison  
(b) (4) Bascom Hall – 500 Lincoln Drive  
Madison, WI 53706

Dear Dr. Connor,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your November 30, 2021 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the University of Wisconsin - Madison. Your letter supplemented the information in the preliminary telephone report on the same day. According to the information provided, OLAW understands that an adult male macaque did not receive its prescribed valium treatment for self-directed behavior. The one missed treatment did not result in an increase in self-directed behavior as the animal was currently receiving more than one agent to combat the unwanted behavior. A root cause analysis revealed that the animal caretaker signed off on the treatment but initially could not find the agent to be administered and subsequently forgot to give the treatment. The caretaker involved in the incident was counseled regarding not signing off on a treatment before it is completed. A statement will be added to the treatment SOP reminding personnel that they should not sign-off on a treatment before it is administered. The animal involved was supported by PHS funds.

The consideration of this matter by the University of Wisconsin - Madison was consistent with the philosophy of institutional self-regulation. Similarly, the actions taken to address the issue and prevent recurrence were appropriate. We appreciate being informed of this matter and find no cause for further action by this office.

Sincerely,

**Brent C. Morse**

-S

Brent C. Morse, DVM

Director

Division of Compliance Oversight

Office of Laboratory Animal Welfare

Digitally signed by Brent C.  
Morse -S  
Date: 2022.01.04 10:56:04  
-05'00'

cc: IACUC contact

Dr. Robert M. Gibbens, USDA, APHIS, AC



November 30, 2021

Dr. Brent Morse, DVM, DAACLAM  
Director, Division of Compliance Oversight  
Office of Laboratory Animal Welfare  
National Institutes of Health  
RKL1, Suite 360, MSC 7982  
6705 Rockledge Drive  
Bethesda, MD 20892-7892

Dear Dr. Morse:

The University of Wisconsin-Madison, in accordance with Assurance A3368-01 and PHS Policy IV.F.3, provides this report of an incident first reported to you via telephone by Dr. Janet Welter on November 29, 2021.

In this incident, an adult male macaque did not receive its prescribed valium treatment for self-directed behavior. The one missed treatment did not result in an increase in self-directed behavior as the animal was currently receiving more than one agent to combat the unwanted behavior. A root cause analysis revealed that the animal caretaker signed off on the treatment but initially could not find the agent to be administered and subsequently forgot to give the treatment. The caretaker involved in the incident was counseled regarding not signing off on a treatment before it is completed. A statement will be added to the treatment SOP reminding personnel that they should not sign off on a treatment before it is administered. The animal involved was supported by PHS funds.

The LSVC ACUC discussed this incident in full committee and determined that the corrective steps taken were appropriate. If you have further questions, please feel free to contact either of us or Dr. Janet Welter.

Sincerely,

(b) (6)

Ricki Colman, Ph.D.  
Chair, LSVC ACUC

(b) (6)

Nadine P. Connor, Ph.D.  
Professor and Oros Family Chair in Communication Sciences & Disorders  
Associate Vice Chancellor for Research Policy & Compliance  
Institutional Official

**Research Animal Resources Center**

396 Enzyme Institute University of Wisconsin-Madison 1710 University Avenue Madison, Wisconsin 53726-4087  
608/262-1238 Fax: 608/265-2698 Email: help@rarc.wisc.edu http://www.rarc.wisc.edu

xc:

(b) (6)  
Janet Welter  
AAALAC-I

**Wolff, Axel (NIH/OD) [E]**

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**From:** OLAW Division of Compliance Oversight (NIH/OD)  
**Sent:** Friday, December 10, 2021 7:58 AM  
**To:** (b) (6)  
**Cc:** OLAW Division of Compliance Oversight (NIH/OD)  
**Subject:** RE: Incident Report from Nadine Connor @ UW-Madison

Thank you for these 6 reports, (b) (6) We will send responses soon.

Axel Wolff

**From:** (b) (6)  
**Sent:** Wednesday, December 8, 2021 2:24 PM  
**To:** OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>  
**Cc:** AAALAC International <accredit@AAALAC.org>; Nadine Connor <nadine.connor@wisc.edu>; RICKI COLMAN <rcolman@primate.wisc.edu>; (b) (6) Janet Welter <welter@rarc.wisc.edu>  
**Subject:** [EXTERNAL] Incident Report from Nadine Connor @ UW-Madison

**CAUTION:** This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and are confident the content is safe.

Dr. Morse:

Please see the attached incident final report from Nadine Connor @ UW-Madison. Thanks!

(b) (6)





### Initial Report of Noncompliance

By: (b) (6)

Date: 11/30/2021

Time: 3:50

Name of Person reporting: Janet Welter, DVM, AV  
 Telephone #: (b) (6)  
 Fax #: (b) (6)  
 Email: (b) (6)

Name of Institution: University of Wisconsin - Madison  
 Assurance number: A3368 WNPRC

Did incident involve PHS funded activity? ?  
 Funding component: \_\_\_\_\_  
 Was funding component contacted (if necessary): \_\_\_\_\_

What happened? NHP not given dose of valium although caretaker signed-off on Tx sheet. No adverse health issues.

Species involved: Macaque  
 Personnel involved: Caretaker  
 Dates and times: \_\_\_\_\_  
 Animal deaths: No

Projected plan and schedule for correction/prevention (if known): \_\_\_\_\_

Projected submission to OLAW of final report from Institutional Official: \_\_\_\_\_

OFFICE USE ONLY  
 Case # \_\_\_\_\_